

Analysis of Adaptive Coded Modulation with Antenna Diversity and Feedback Delay

Kjell J. Hole, Henrik Holm, and Geir E. Øien

Department of Telecommunications
Norwegian University of Science and Technology (NTNU)



NTNU

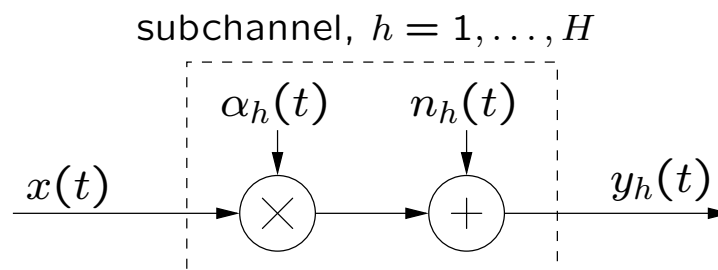
- Motivation
- Channel Model
- Adaptive Coded Modulation
- BER Degradation Due to Delay
- Example
- Conclusions



- Multipath fading: Channel signal-to-noise ratio (CNR) varies with time.
- Adaptive coded modulation: splitting the CNR range.
 - When CNR is low: transmitting with low rate code.
 - Take advantage of favorable conditions by using high rate code.
- Requires transmitter knowledge about channel state information (CSI).
⇒ Need feedback channel!
- Delay in feedback channel will affect BER performance.
- Increasing the no. of receive antennas might make the system more robust.



- Use H receive antennas; each branch is modeled as a flat Rayleigh-fading channel.



- Transmit power $E[x^2(t)] = S$,
noise power $E[n_h^2(t)] = N_0B$.
⇒ Instantaneous received CNR is

$$\gamma_h(t) = \frac{\alpha_h^2(t) \cdot S}{N_0B}$$

- RMS value of fading envelope assumed independent of h
 - $E[\alpha_h^2(t)] = \Omega$
 - thus, $E[\gamma_h(t)] = \bar{\gamma}_h = \frac{\Omega \cdot S}{N_0B}$



- Receiver implements maximal ratio combining (MRC), assuming statistically independent branch signals.
- CNR of output of MRC:

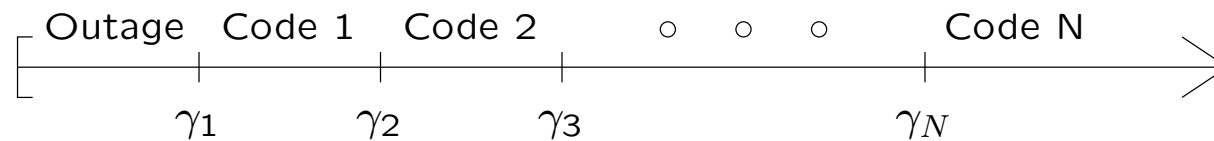
$$\gamma = \sum_{h=1}^H \gamma_h, \quad \bar{\gamma} = H\bar{\gamma}_h,$$

governed by following PDF:

$$p_{\gamma}(\gamma) = \left(\frac{H}{\bar{\gamma}}\right)^H \frac{\gamma^{H-1}}{(H-1)!} \exp\left(-H\frac{\gamma}{\bar{\gamma}}\right), \quad \gamma \geq 0, \quad (1)$$



- An Adaptive Coding Scheme for fading channels consists of N codes, each designed to combat AWGN.
- CNR range divided into $N + 1$ fading regions:



- Thresholds determined such that BER for each code is lower than predetermined BER_0 under idealized assumptions.



- $M_1 < M_2 < \dots < M_N$ denotes the number of symbols in N QAM constellation.
- Code n accepts $L \cdot \log_2(M_n) - 1$ info bits, generates $L \cdot \log_2(M_n)$ coded bits that specify L symbols.
- L 2-dimensional symbols \iff one $2L$ -dimensional symbol.
- Model for BER-CNR-relationship for code n (on AWGN channel):

$$\text{BER}_n = \begin{cases} a_n \cdot \exp\left(-\frac{b_n \gamma}{M_n}\right), & \gamma \geq \gamma_n^* \\ 1/2, & \gamma < \gamma_n^* \end{cases}$$

($\gamma_n^* = \frac{\ln(2a_n)M_n}{b_n}$ is smallest CNR ensuring that BER is at most 1/2.)

- Thresholds are calculated from target BER_0 ,

$$\gamma_n = \frac{M_n K_n}{b_n}, \quad n = 1, 2, \dots, N$$

$$K_n = -\ln(\text{BER}_0/a_n).$$



- CSI estimated at receiver at time t .
- In practical system: CSI available to transmitter will be delayed so that it arrives at time $t + \tau$.
- Thus, transmitter uses code corresponding to (outdated) CNR estimate γ , while actual CNR is γ_τ .
- BER as function of γ_τ :

$$\text{BER}_n^\tau(\gamma_\tau | \gamma) = \begin{cases} a_n \cdot \exp\left(-\frac{b_n \gamma_\tau}{M_n(\gamma)}\right), & \gamma_\tau \geq \gamma_n^* \\ 1/2, & \gamma_\tau < \gamma_n^* \end{cases}$$



- Still assuming perfect γ estimated at receiver, e.g. no estimation error at time t , but $\tau > 0$.
- It can then be shown that average BER in fading region n is given by:

$$\langle \text{BER} \rangle_n^\tau = \mathcal{I}1(n) - \mathcal{I}2(n)$$

with the term $\mathcal{I}1(n)$ given by:

$$\mathcal{I}1(n) = \frac{a_n}{(H-1)!} \left(\frac{H}{\bar{\gamma}} \right)^H \frac{\Gamma(H, \beta_n \gamma_n) - \Gamma(H, \beta_n \gamma_{n+1})}{(\omega_n)^H}$$

where $\beta_n = \frac{H}{\bar{\gamma}} + \frac{H\rho b_n}{HM_n + \bar{\gamma}(1-\rho)b_n}$ and $\omega_n = \frac{H}{\bar{\gamma}} + \frac{b_n}{M_n}$,

with power correlation coefficient

$$\rho = \frac{E[\alpha_\tau^2 \alpha^2] - \Omega}{\sqrt{\sigma^2 \sigma^2}} = J_0^2(2\pi f_D \tau).$$

- $\mathcal{I}2(n)$ can be viewed as a correction term due to the BER upper bound in BER-CNR relationship.



- Average total BER for delay τ is then

$$\langle \text{BER} \rangle^\tau = \frac{\sum_{n=1}^N i_n [\mathcal{I}1(n) - \mathcal{I}2(n)]}{\sum_{n=1}^N i_n P_n}$$

where $i_n = \log_2(M_n) - 1/L$ is the no. of info bits per modulation symbol in code n .

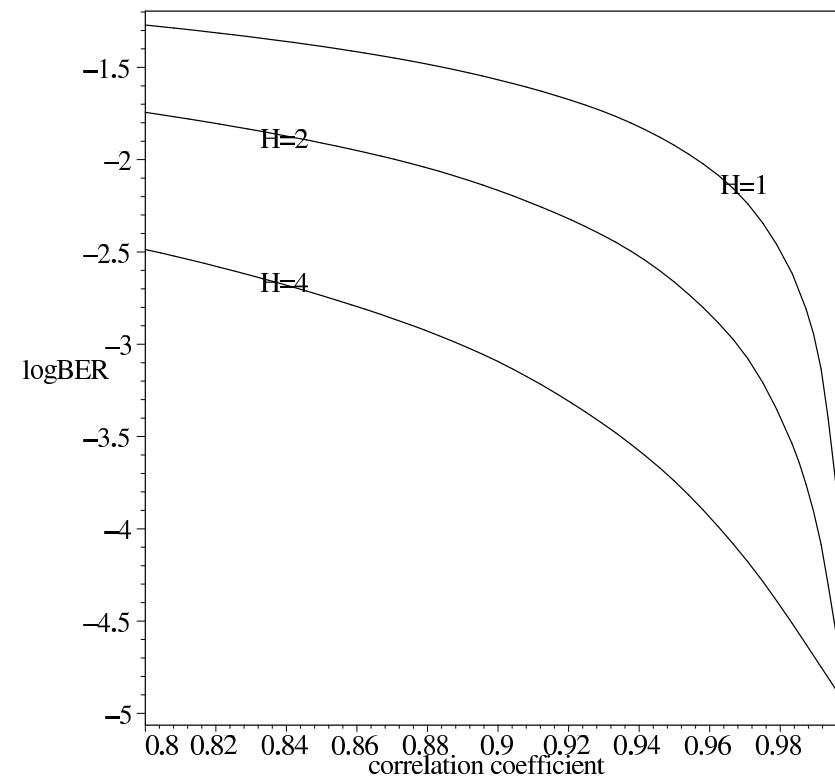
- $P_n = \Pr(\gamma_n \leq \gamma < \gamma_{n+1})$



- Adaptive codec, eight 4-dimensional trellis codes, $M_n \in \{4, 8, 16, \dots, 512\}$.
- Individual codes simulated for AWGN, obtaining a_n and b_n parameters by LMS curve fitting.
- Thresholds γ_n determined for target $\text{BER}_0 = 10^{-4}$.
- Assume $\bar{\gamma}_h = 20$ dB.



- Base-10 logarithm of $\langle \text{BER} \rangle^T$ plotted as function of ρ for $H = 1, 2, 4$.



- Nonzero feedback delay will degrade BER performance of an adaptive coded modulation system.
- Degradation can be mitigated by using MRC.
- Still, the results suggest that adaptive (coded) modulation is best suited for moderate mobility (pedestrian speed).

